Caution : The Information Prefilled in this Form in RED Color is for Illustration Prupose only.

Form ISR – 1

(SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16,2023 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

A. I / We, request you to Register / Change / Update the following (Tick ✓ relevant box) **Date : 16 / 03 / 2023**

☑ PAN	☑ Signature	☑ Mobile Number
☑ Bank details	☑ Registered Address	☑ E-mail address

B. Security and KYC Details [to be filled in by the First Holder]:

Name of the Issuer Company	ABC COMPANY LIMITED	**Folio No.**	A014223
Face value of Securities	10/-	**Number of Securities**	100
Distinctive number of Securities (Optional)	**From** 80000301	**To** 80000400	
E-mail Address	hira368@gmail.com		
Mobile Number	9820098200		

C. I/We are submitting documents as per Table below (tick ✓ relevant, refer to the instructions):

Name(s) of the Security holder(s) in Capital as per PAN Copies of PAN Cards of all the Holder(s) duly self-attested with date to be enclosed with this Form.	**PAN**	**PAN Linked to Aadhaar -Y/N** Tick any one [✓] *
1. HIRACHAND K SHAH	AACPC0063S	✓ Yes / No
2. MAYA H SHAH	AABPN2312S	✓ Yes / No
3. NAMAN T SHAH	AAXPV3320M	✓ Yes / No
4. USHA K GOENKA	AFNPO9160P	✓ Yes / No

Note: * PAN shall be valid only if it is linked to Aadhaar by June 30, 2023, or any other date as may be specified by CBDT.
Check Status of PAN linked with Aadhaar at https://www.incometax.gov.in/iec/foportal For Exemptions/Clarifications on PAN refer Instruction.

Bank Account Details of First Holder			
Name of the Bank & Branch	UNION BANK OF INDIA	**IFSC**	UBIN9531237
Bank A/c No.	312902205063266	**Tick any one [✓] Acct type** ☑ Savings ☐ Current ☐ NRO ☐ NRE ☐ Any other [ ]	

Note: Original cancelled cheque leaf bearing the name of the first holder is mandatory, failing which first security holder shall submit copy of bank passbook / statement attested by the Bank for registering the Bank Account details.

Demat Account Number	**16 digit DPid /Client id [**	IN300212 - 30432688 **]**

Also provide Client Master List (CML) of your Demat Account, duly signed by the Depository Participant with stamp.

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our above Folio No, provided by me/us.

Declaration: All the above facts and documents enclosed are true and correct.

	First Named Holder	Joint Holder - 1	Joint Holder - 2	Joint Holder - 3
Signature				
Name	HIRACHAND K SHAH	MAYA H SHAH	NAMAN T SHAH	USHA K GOENKA
Address	LODHA COSTERIA NAPEAN SEA ROAD MALABAR HILL MUMBAI	SAME AS ADDRESS OF FIRST HOLDER	GRAND PARADI APARTMENT-C NAPEAN SEA ROAD MALABAR HILL MUMBAI	SAME AS ADDRESS OF FIRST HOLDER
PIN	400006		400006	

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf. (Use separate Annexure to Form ISR-1 to update the above PAN and other KYC details as provided in this form with the additional Folio(s) where you are the First Named holder of securities, in such issuer companies.)

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I/We are submitting documents as per Table below (tick ✓as relevant, refer to the instructions):

No.	✓	Document/Information /Details	Instruction/Remark
1	☑	**PAN of (all) the (joint) holder(s)**	PAN Card copies of all the holders duly self-attested with date to be enclosed. PAN shall be valid only if it is linked to Aadhaar by June 30, 2023, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular.
2	☑	**Demat Account Number**	Provide Client Master List (CML) of your Demat Account,duly signed by the Depository Participant with stamp.
3		**Proof of Address of the first Holder**	**Provide self attested copy with date stamp of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address;** ☑ Client Master List (**CML**) of the Demat Account of the holder/claimant, duly signed by the Depository Participant with stamp. ☑ Unique Identification Number (UID) (Aadhaar) ☑ Valid Passport/ Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance Bill* ☐ Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill – Not more than 3 months old. ☐ Identity card (with Photo) / document with address, issued by Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions duly attested by the employer with date and organization stamp. ☐ For FII / sub account, Power of Attorney given by FII / sub- account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. ☐ The proof of address in the name of the spouse* ***Kindly provide additional self-attested copy of Identity Proof of the holder/ claimant/ spouse.**
4	☑	**Bank details**	Original cancelled cheque leaf bearing the name of first holder OR latest copy of the bank passbook/statement with details of bank name, branch, account number and IFSC duly attested by the bank. Alternatively, Bank details as per CML enclosed will be updated in the folio.
5	☑	**E-mail address (Optional)**	As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio.
6	☑	**Mobile**	As mentioned on Form ISR-1, alternatively the mobile number available in the CML as enclosed will be updated in the folio.
7	☑	**Specimen Signature**	Provide banker's attestation of the signature of the holder(s) as per Form ISR – 2 and Original cancelled cheque leaf bearing the name of the first holder.
8		**Nomination**	**Submit these Form(s) separately for each listed company.** **(***Use any ONE of the following options***.)** ☑ **SH-13** For First Time Nomination ☐ **SH-14** For Cancellation or Variation in Nomination ☐ **SH-14 and ISR-3** For Cancellation of Nomination and to "Opt-Out" ☐ **ISR-3 To "OPT-Out"** of Nomination or if No Nomination is required

Note:

1) **In case of additional folios for securities held under the same First Named holder for Companies managed by the same RTA, details of such folios to** be completed in Annexure to Form ISR-1 along with the required declaration and authorisation.
2) **All the above blank forms along with the mode of submission are available on our website**

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Annexure to Form ISR – 1

(SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16,2023 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF, IN THE UNDERSTATED COMPANIES

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Dear Sir/Madam,

I/We request you(RTA), to register / update the details of PAN and KYC details in the following additional Companies where the securities are held in my/our name(s) and the first named holder is in the same order as that which is mentioned in Form ISR-1.

Pl note: ***Use of this Annexure without Form ISR-1, will be rejected.*** **Date : 16 / 03 / 2023**

Sr.No	Name of the Issuer Company	Folio No.	Quantity of Securities	Face Value of Securities	Start-Distinctive (Optional)	End-Distinctive (Optional)
1	TEST COMPANY LTD	B002210	200	Rs 5/-	4530001001	4530001200
2	TEST-1 COMPANY LTD	102889	1000	Rs 10/-	2300001001 981100981501	2300001500 981100982000

(Use a copy of this sheet, if extra space is required)

Authorization: I/We authorise you (RTA) to update the PAN and KYC details as provided by me/us as per Form ISR-1, in my / our above Folio No(s), held in my / our name(s) in which I/we are the holder(s) of securities where first holder remains unchanged. The original Form ISR-1, duly filled in by me/us is attached with Annexure to Form ISR-1.

Declaration: All the above facts and documents enclosed are true and correct.

	First Named Holder	Joint Holder - 1	Joint Holder - 2	Joint Holder - 3
Signature				
Name	HIRACHAND K SHAH	MAMAN SHAH	USHA K GOENKA	

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as per the instructions stated in point (3) of Form ISR-1.

(Use separate Annexure to Form ISR-1 to update PAN and other KYC details as provided in Form ISR-1 where you are the First Named security holder in such issuer companies. serviced by us.)